

PATENT ATTORNEY DOCKET NO. 10269/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Keiser et al.

Serial No.

09/465,607

Examiner: Clement B. Graham

Filed

December 17, 1999

Group Art Unit: 2164

Title

COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH VIRTUAL CURRENCY AND VIRTUAL

SPECIALIST FUNCTION

RECEIVED

Commissioner for Patents

P.O. Box 1450 Alexandria VA 22313-1450 JUL 2 1 2003

GROUP 3600

RESPONSE TO OFFICE ACTION DATED JANUARY 14, 2003, AND FOLLOWING INTERVIEW OF MAY 20, 2003

Sir:

The following remarks are in response to the Office Action dated January 14, 2003, and follow the interview of May 20, 2003 between Seth H. Ostrow, undersigned attorney for applicants, and Examiners Clement B. Graham and his supervising Examiner Hyung S. Sough.

REMARKS

In the Office Action dated January 14, 2003, claims 1-22 were rejected under 35 U.S.C. §103(a) as being obvious over U.S. Patent No. 5,724,524 to Hunt et al. During the interview of May 20, 2003, as referenced above, the applicants explained their position with respect to the pending claims being patentable over the cited art, and the supervising Examiner admitted that the pending rejection of the claims is "weak." Therefore, pending any additional searching which may be deemed necessary, the applicants expect an allowance of the claims following this